# Securities Listed on Option Market Horwitz & Associates, Inc.

### Summary Statistics:

| Non-directed orders as percentage of total customer orders | 0.0% |
|--|------|
| Market orders as percentage of total non-directed orders   | 0.0% |
| Limit orders as percentage of total non-directed orders    | 0.0% |
| Other orders as percentage of total non-directed orders    | 0.0% |

Venues receiving significant percentage of total non-directed orders:

Information Concerning significant Venues:

Securities Listed on New York Stock Exchange Horwitz & Associates, Inc.

#### Summary Statistics:

| Non-directed orders as percentage of total customer orders | 100.0% |
|--|--------|
| Market orders as percentage of total non-directed orders   | 100.0% |
| Limit orders as percentage of total non-directed orders    | 0.0%   |
| Other orders as percentage of total non-directed orders    | 0.0%   |

Venues receiving significant percentage of total non-directed orders:

Apex Clearing 100.0%

Information Concerning significant Venues:

Apex Clearing

Type of Orders Routed to Venue:

Market orders as percentage of total market orders 100.0%

Securities Listed on AMEX or Other Horwitz & Associates, Inc.

# Summary Statistics:

| Non-directed orders as percentage of total customer orders | 0.0% |
|--|------|
| Market orders as percentage of total non-directed orders   | 0.0% |
| Limit orders as percentage of total non-directed orders    | 0.0% |
| Other orders as percentage of total non-directed orders    | 0.0% |

Venues receiving significant percentage of total non-directed orders:

Information Concerning significant Venues:

Securities Listed on OTC Horwitz & Associates, Inc.

## Summary Statistics:

| Non-directed orders as percentage of total customer orders | 100.0% |
|--|--------|
| Market orders as percentage of total non-directed orders   | 100.0% |
| Limit orders as percentage of total non-directed orders    | 0.0%   |
| Other orders as percentage of total non-directed orders    | 0.0%   |

Venues receiving significant percentage of total non-directed orders:

| Knight Securities | 50.0% |
|-------------------|-------|
| Apex Clearing     | 50.0% |

Information Concerning significant Venues:

Knight Securities

Type of Orders Routed to Venue:

Market orders as percentage of total market orders 50.0%

Apex Clearing

Type of Orders Routed to Venue:

Market orders as percentage of total market orders 50.0%

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